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Publisher's Note

2021 — Release 4

Previous release was 2021-3

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Securities Law and Practice

Securities Law and Practice Third Edition provides the only textual treatment of securities law in Canada. Organized according to the *Ontario Securities Act*, the extensive commentary that follows each section interprets and explains the practical implications of the provision, in the light of rulings of the Ontario Securities Commission and court decisions from across Canada, as well as U.S. decisions. It also includes comparisons to the equivalent Acts of Alberta, British Columbia and Quebec. Comprehensive securities-related primary material from every province and territory, including legislation and securities commission regulatory and policy materials, makes this an essential work for practitioners and securities regulators in all provinces.

Release 2021-4 features updates to the Ontario, National/Multilateral, Alberta and British Columbia policy documents.

REGULATORY HIGHLIGHTS

Ontario

- **New:** OSC Instrument 81-506 *Temporary Exemptions from National Instrument 81-104 Alternative Mutual Funds*

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Federal/Multilateral

- **New:** CSA Staff Notice 23-328 *Order Protection Rule: Market Share Threshold for the Period April 1, 2021 to March 31, 2022*
- **New:** CSA Staff Notice 45-328 *Update on Amendments relating to Syndicated Mortgages: National Instrument 45-106 Prospectus Exemptions and National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations and Changes to Companion Policy 45-106CP Prospectus Exemptions and Companion Policy 31-103CP Registration Requirements, Exemptions and Ongoing Registrant Obligations*
- **New:** CSA Staff Notice 51-362 *Staff Review of COVID-19 Disclosures and Guide for Disclosure Improvements*

Alberta

- **Updated:** ASC Rule 45-511 *Local Prospectus Exemptions and Related Requirements*
- **New:** Blanket Order 81-508 *Citation: Re Exemptions from National Instrument 81-104 Alternative Mutual Funds, 2021 ABASC 7*

British Columbia

- **New:** BC Instrument 81-523 *Exemption from Proficiency Requirements in National Instrument 81-104 Alternative Mutual Funds*